Lecture Series on

Intelligent Control

Lecture 22 **Genetic Algorithms: Applications**

Kwang Y. Lee
Professor of Electrical & Computer Engineering
Baylor University
Waco, TX 76798, USA
Kwang_Y_Lee@baylor.edu

1

GENETIC ALGORITHM APPLICATIONS

- Power Plant Controller Design
- Reactive Power and Voltage Control
- Unit Commitment and Generation Scheduling
- · Economic Dispatch
- Power System Planning
- Hybrid GA/Neural Networks
- · Hybrid GA/Fuzzy Systems

2

2

Fossil-Fuel Power Plant Control

- Boiler-Turbine Model
 - 160 MW oil fired drum-type unit
 - a third order MIMO nonlinear system
 - The inputs:
 - the mass flow rates of fuel $(u_1 \text{ in pu})$,
 - steam to the turbine $(u_2 \text{ in pu})$, and
 - feedwater to the drum $(u_3 \text{ in pu})$.
 - The outputs:
 - electric power (E in MW),
 - drum steam pressure (P in kg/cm²), and
 - drum water level deviation (L in m).

3

Boiler-Turbine System

A MIMO nonlinear Model of a Boiler-Turbine System:

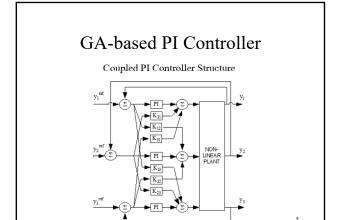
$$\begin{split} \dot{x}_1 &= -0.0018u_2x_1^{9/8} + 0.9u_1 - 0.15u_3 \\ \dot{x}_2 &= \left[(0.73u_2 - 0.16)x_1^{9/8} - x_2 \right]/10 \\ \dot{x}_3 &= \left[141u_3 - (1.1u_2 - 0.19)x_1 \right]/85 \\ L &= 0.05(0.13073x_3 + 100a_{cs} + q_e/9 - 67.975) \end{split}$$

where

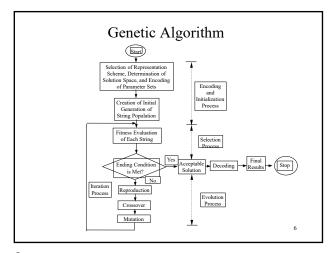
$$\alpha_{ee} = \frac{\left(1 - 0.001538 \rho_f\right) \left(0.8 p - 25.6\right)}{\rho_f \left(1.0394 - 0.0012304 p\right)}$$

$$q_e = \left(0.854 u_2 - 0.147\right) p + 45.59 u_1 - 2.514 u_3 - 2.096$$

4



5



Fitness Evaluation

$$f = \frac{1}{1 + J}$$

$$J = J_{_0} + J_{_{zz}}$$

$$J_0 = \int_t^{t_i} \left((y - y^{ref})^T Q (y - y^{ref}) + u^T R u \right) dt$$

$$J_{zz} = \sum_{i=1}^{3} e_i(t_f)$$

$$e_i(t) = y_i(t) - y_i^{ref}(t)$$

7

GA Parameters

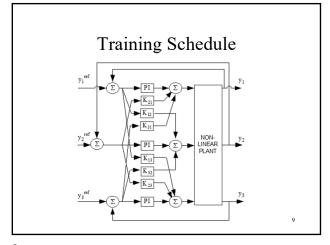
Population size: 64 Crossover rate: 0.9

• Mutation rate: 0.001

• Parameter resolution: 9 bits per substring

• Stopping criteria: 30 generations or 95% convergence has been achieved

8



Training Schedule

Stage	Gains to Train
I	K_{p1}, K_{p2}, K_{p3}
II	K,,,K,,2,,K,,3
III	K ₁₂ ,K ₁₃
IV	K 21 ,K 23
V	K_{31} , K_{32}

10

10

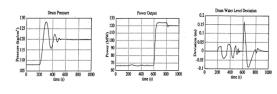
Gains at Each Training Stage

	I	
$K_{_{p1}}$	11.3883	
$K_{_{p2}}$	0.0793	
$K_{_{p3}}$	1.2051	
K.,	-	
K,,	-	
K.,	-	
K,,	-	
K,,	-	
K ₂₁	-	
K ₁₃	-	
K,,	-	
AC 32	-	

11

11

GA/PI Performance



12

GA/LQR Controller

Feedback Gain:

$$K = \begin{bmatrix} 0.0354 & 0.2236 & -0.1451 \\ -0.0430 & 0.0588 & 0.0039 \\ 0.1609 & -0.6316 & 0.4275 \end{bmatrix}$$

Feedforward Gain:

$$F = \begin{bmatrix} 0.0341 & 0.0018 & 0.6567 \\ -0.0051 & 0.0903 & -1.3537 \\ -0.4234 & -0.4088 & 94.3416 \end{bmatrix}$$

13

13

GA/LQR Performance







14

14

Nuclear Power Plant Control

- Advanced Controls:
 - Linearized SISO models
 - Operating point nominal power level
 - Frequency domain design techniques
 - Controls are too complex, high order
- PID Controls:
 - Not flexible for a wide range operation

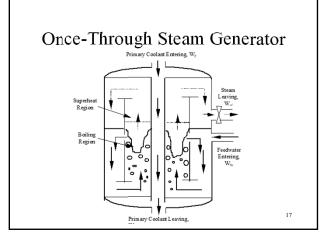
15

Steam Generator Control

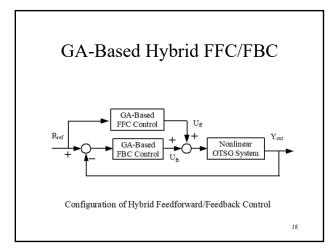
- OTSG is a critical component and provides a dynamic link between the primary system and turbo-generator set in a pressurized water reactor (PWR) power plant
- The safe operation of OTSG plays a very important role in the overall safety of the PWR power plant
- · Highly nonlinear and complex system

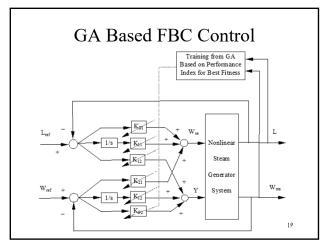
16

16



17





19

Training of Gains with GA

- The six parameters are trained by the GA by adding additional parameters sequentially in four stages:
- First training stage: K_{p1} and K_{p2} .
- Secondary training stage: K_{I1} and K_{I2} .
- Third training stage: K_{12} .
- Fourth training stage: K_{21.}

2

20

Fitness Evaluation

The performance objective function:

$$J = \int_{T}^{T_{ref}} \left\{ 100 \left(L - L_{ref} \right)^{2} + 0.2 \left(W_{sf} - W_{ref}(t) \right)^{2} \right\} dt$$

Fitness function:

$$f = \frac{1}{(1+J)}$$

21

Feedforward Control

Training of the reference u_{ref} through the following ramp function:

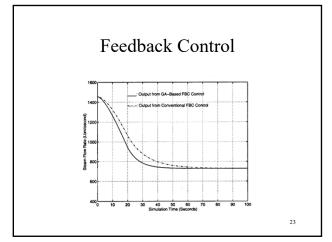
$$u_{ff}(t) = u_0 + \frac{(u_{ref} - u_0)}{T_{ref} - T_0}t$$

where

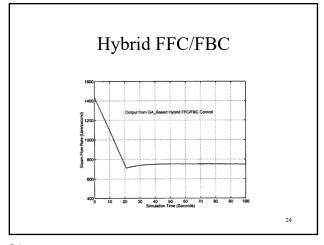
 T_0 : initial time at which the simulation starts, T_{ref} : final time of the ramp function, u_0 ,: initial FFC control (u_{ff}) : feedwater flow rate (W_{fv}) , and steam controlling valve position (Y).

22

22

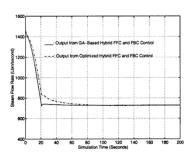


23



Hybrid FFC/FBC for MMS

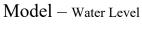
 $Model-{\tt Steam\ Flow\ Rate}$

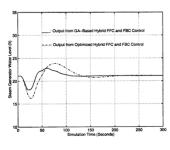


25

25

Hybrid FFC/FBC for MMS





26

26

Conclusions

- MIMO GA-based hybrid control system, consisting of FFC/FBC, is presented for boilerturbine and a nuclear steam generator system over a wide range of operations.
- The FFC control is obtained by the global GA optimization technique and the FBC control is an optimal design of the PI-based control system.
- Good simulation results are achieved which manifest the efficiency and effectiveness of the GA-based Hybrid FFC/FBC control system.
- This approach can be expanded to overall operation of a nonlinear complex system.

27

REFERENCES (1)

Baker, J. E. et al.(1985) Adaptive Selection Methods for Genetic Algorithms, in Proc. 1st Int. Conference on Genetic Algorithms.

Baker, J. E. et al.(1987) Reducing bias and inefficiency in the Selection algorithm in Proc. 2nd Int. Conference on Genetic Algorithms.

Booker, L. (1987) Improving search in Genetic Algorithms. In: Genetic Algorithms and Simulated annealing (L. Davis Ed.) 41

Brady, R. M., "Optimization strategies gleamed from biological evolution", Nature, 317, pp. 804-806, 1985.

Davis, L., Genetic Algorithms and Simulated Annealing, Morgan Kaufmann Publishers, Inc., Los Altos, California, (1987).
 Davis L. Ed. Handbook of Genetic Algorithms. van Nostrand Reinhold, New York. [Holland, 1975]

r ork. [Houland, 19/5]
Dimeo, R. and K. Y. Lee, "The Use of Genetic Algorithm in Power Plant
Control System Design," Proc. 34th IEEE Conference on Decision and
Control, pp. 737-742, New Orleans, LA, December 13-15, 1995.
Dimeo, R. and K. Y. Lee, "Boiler-Turbine Control System Design Using a
Genetic Algorithm," IEEE Transactions on Energy Conversion, Vol. 10,
No. 4, pp. 752-759, December (1995).

28

28

REFERENCES (2)

Edward, R. M. and K. Y. Lee, "Genetic-Based Control of a MIMO Boiler-Turbine Plant," Proc. of the 33rd IEEE Conference on Decision and Control, pp. 3512-3517, Lake Buena Vista, FL, December 14-16, 1994.

Ghezelayagh, H. and K. Y. Lee, "Training Neuro-Fuzzy Boiler Identifier with Genetic Algorithm and Error Back-Propagation," Proc. IEEE Power Engineering Society Summer Meeting, pp. 978-982, July 1999.

Ghezelayagh, H. and K. Y. Lee, "Intelligent Predictive Control of a Power Plant with Evolutionary Programming Optimizer and Neuro-Fuzzy Identifier", accepted for the Proc. IEEE 2002 World Congress on Computational Intelligence, Honolulu, Hawaii, May 12-17, 2002.

Goldberg, D. E. "Genetic algorithms in Search Ontimization and Machine.

Goldberg, D. E., "Genetic algorithms in Search, Optimization and Machine Learning", 1989 Addison-Wesley
Goldberg, D. E., "A Note on Boltzman Tournament Selection for Genetic Algorithms and Population-Oriented Simulated Annealing", 1991, Complex Systems 3

Systems 3
Goldberg, D. E. and K. Deb, "A Comparative Analysis of Selection Schemes Used in Genetic Algorithms", Genetic algorithms in Search, Optimization and Machine Learning Summer School, 1991 Stanford.
Goldberg, D. E., Genetic Algorithms in Search, Optimaization, & Machine Learning, Addison-Wesley, 1989.

29

29

REFERENCES (3)

Grefenstette, J., "Conditions for Implicit Parallelism", Navy Center for Applied Research in Artificial Intelligence, Internal Report, 1991 Washington.

Heitkoetter, J. and Beasley, David, eds. (1998) "The Hitch-Hiker's Guide to Evolutionary Computation: A list of Frequently Asked Questions (FAQ)", USENET: comp.ai.genetic. Available via anonymous FTP from rtfm.mit.edu/pub/usenet/news.answers/ai-fac/genetic/About 110 pages.

Lee, K. Y. and F. F. Yang, "Optimal Reactive Power Planning Using Evolutionary Algorithms," Proc. International Conference on Intelligent Systems Applications to Power Systems, pp. 397-401, Scoul, Korea, July 6-10, 1997.

Lee, K. Y. and F. F. Yang, "Optimal Reactive Power Planning Using Evolutionary Algorithms: A Comparative Study for Evolutionary Programming, Evolutionary Strategy, Genetic Algorithm, and Linear Programming," IEEE Transactions on Power Systems, Vol. 13, No. 1, pp. 101-108, February 1998.

Lee, K. Y. and P. S. Mohamed, "A Real-Coded Genetic Algorithm Involving a Hybrid Crossover Method for Power Plant Control System Design", Proc. 2002 International Conference on Fuzzy Systems, 2002 World Congress on Computational Intelligence, Honolulu, Hawaii, pp. 1069-1074, May 12-17, 2002.

Lee, K. Y. (Editor), Tutorial on Modern Heuristic Optimization Techniqus with Applications to Power Systems IEEE Power Engineering Society, IEEE Catalog Number 02TP160, Piscataway, NJ, 2002.

REFERENCES (4)

- Miranda, V. and Proença, L.M., "A General Methodology For Distribution Planning Under Uncertainty, Including Genetic Algorithms And Fuzzy Models In A Multi-Criteria Environment", Proceedings of IEEE/KTH Stockholm Power Tech Conference, Stockholm, Sweden, June 18-22, 1995, pp. 832-837.

- pp. 832-837.

 Park, J.-B., Y.-M. Park, J.-R. Won, and K. Y. Lee, "Least Cost Generation Expansion Planning Based on an Improved Genetic Algorithm," Proc. IEEE Power Engineering Society Summer Meeting, pp. 1043-1047, July 1999.

 Park, J.-B., Y.-M. Park, J.-R. Won, and K. Y. Lee, "An Improved Genetic Algorithm for Generation Expansion Planning," IEEE Transactions on Power Systems, Vol. 15, No. 3, pp. 916-922, August 2000.

 Park, J.-B., J.-H. Kim, Ald K. Y. Lee, "Generation Expansion Planning in a Competitive Environment Using a Genetic Algorithm", (panel) Proc. IEEE Power Engineering Society Summer Meeting (CD), Chicago, May 2002.

 Santra, R. and K. Y. Lee, "Boiler-Turbine Control System Design Using Modified Genetic Algorithms," Proc. International Conference on Intelligent Systems Applications to Power Systems, pp. 415-419, Seoul, Korea, July 6-10, 1997.

 Stender, J., E. Hillebrand, and J. Kinedon. Genetic Algorithms in Optimization
- 10, 1997.
 Stender, J., E. Hillebrand, and J. Kingdon, Genetic Algorithms in Optimization, Simulation and Modeling, IOS Press, Washington DC, (1994).

31

31

REFERENCES (5)

- Syed, P. M., Lukas, M. D., K. Y. Lee, and J. W. Jung, "A Genetic Algorithm Involving a New Hybrid Crossover Method for Constrained Optimal Tuning of Controllers in a Fuel Cell Power Plant", Proc. International Conference on $Intelligent\ System\ Applications\ to\ Power\ Systems,\ Budapest,\ June\ 2001,\ pp.$ 20-24.
- Syed, P. M., M. D. Lukas, K. Y. Lee, and J.-W. Jung, "A Genetic Algorithm Involving a New Hybrid Crossover Method for Constrained Optimal Tuning of Controllers in a Fuel Cell Power Plant," Proc. International Conference on Intelligent System Application to Power Systems (ISAP 2001), Budapest, Hungary, June 18-21, 2001.
- Whitley, D (1989) The GENITOR algorithm and Selection pressure: Why rankbased allocation of reproductive trials is best. in Proc. 3rd Int. Conference on Genetic Algorithms.
- Won, J. R., J. B. Park, Y. M. Park, K. Y. Lee, "Economic Dispatch Solutions Using an Improved Genetic Algorithm," Proc. International Conference on Intelligent System Application to Power Systems (ISAP '99), Rio de Janeiro, Brazil, April 4-8, 1999, pp. 111-116.

32